

Original Article

Hierarchical Federated Learning Framework for Privacy-Enhanced RAN Optimization in Distributed 5G and Private LTE Systems

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Abstract - This paper presents Hierarchical FL-RAN, a novel federated learning framework for privacy-preserving Radio Access Network (RAN) optimization in distributed 5G and private LTE systems. By leveraging a multi-tier aggregation approach, local models are trained at edge RAN nodes and aggregated progressively through intermediate controllers and central servers, reducing communication overhead and enhancing scalability. The framework integrates domain-specific feature encoding with temporal filtering to capture key network KPIs such as interference patterns and handover metrics while ensuring data privacy. Simulation results demonstrate faster model convergence and improved resource efficiency compared to conventional federated learning methods. The proposed framework enables secure, real-time, and distributed intelligence for RAN optimization in heterogeneous, multi-tenant wireless networks.

Keywords – Federated Learning, 5G, LTE, Radio Access Network (RAN) Optimization, Private LTE, Edge Computing.

1. Introduction

The deployment of distributed 5G and private LTE networks has introduced unprecedented complexity in Radio Access Network (RAN) management, requiring adaptive optimization techniques capable of handling heterogeneous radio environments, dynamic traffic demands, and multi-tenant architectures. Conventional centralized machine learning (ML) methods necessitate the collection of extensive, sensitive network and user data at central servers, which poses significant challenges related to data privacy, regulatory compliance, and communication overhead [1], [2]. Federated Learning (FL) offers a decentralized ML paradigm wherein local models are trained on data retained at distributed nodes, and only model parameters are transmitted to a central aggregator for global model updates [3], [4]. This distributed training mechanism inherently mitigates privacy risks by avoiding raw data exchange and reduces network bandwidth consumption, making FL an attractive approach for telecom networks with stringent privacy requirements and limited backhaul capacity [5].

Despite its potential, direct application of conventional FL frameworks to 5G and private LTE RANs is impeded by several challenges. Additionally, multi-tenant environments demand privacy enhancements beyond standard FL protocols to prevent information leakage [8].

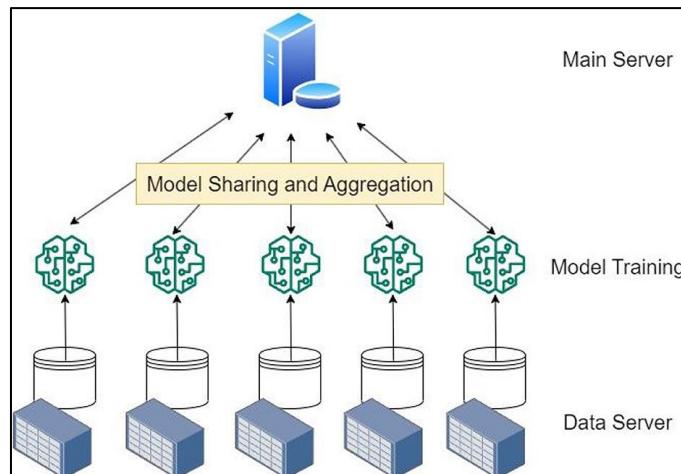


Fig 1: General Federated Learning Architecture [26]

In response, this paper introduces Hierarchical FL-RAN (HFL-RAN), a federated learning framework specifically designed for distributed 5G and private LTE systems. HFL-RAN leverages a multi-tier aggregation strategy comprising edge RAN nodes (e.g., gNodeBs and private LTE controllers), intermediate aggregators (e.g., Central Units or MEC servers), and a central orchestrator to optimize communication efficiency and model convergence [9]. The framework also uses features that are tailored to the network domain and applies time-based filtering to better understand changes in the radio environment, all while keeping the data private. [10].

We validate HFL-RAN through multiple use cases including utility-focused private LTE deployments, satellite-integrated 5G architectures, and ORAN-based smart city networks. The proposed framework is expected to offer faster model convergence and reduced communication overhead compared to traditional flat FL architectures, as suggested by recent studies. This work advances the integration of privacy-preserving distributed learning techniques into the operational framework of next-generation wireless networks.

2. Related Work

Federated Learning (FL) has garnered significant attention as a decentralized machine learning approach that enables collaborative model training without centralized data aggregation. Subsequent research has focused on improving communication efficiency, client selection, and robustness in heterogeneous environments [4], [10]. In the context of wireless networks, FL has been explored for various applications including network anomaly detection, resource allocation, and load balancing. Yang et al. surveyed privacy-preserving federated learning mechanisms tailored for 5G and beyond, emphasizing the importance of secure model updates and mitigating information leakage [5]. Chen et al. proposed edge-coordinated FL architectures for 5G networks, highlighting improvements in latency and scalability by leveraging multi-tier aggregation [9]. This paper addresses these gaps by proposing the Hierarchical FL-RAN framework, which introduces a multi-tier aggregation hierarchy and domain-aware feature encoding tailored for distributed 5G and private LTE systems. This approach extends current FL methodologies to meet the operational and privacy requirements unique to modern wireless networks.

3. Proposed Framework: Hierarchical FL-RAN (HFL-RAN)

This section presents the design and architecture of **Hierarchical FL-RAN (HFL-RAN)**, a federated learning framework specifically engineered for privacy-preserving RAN optimization in distributed 5G and private LTE environments. The framework addresses challenges arising from heterogeneous network elements, constrained communication links, and dynamic radio conditions.

3.1. Architectural Overview

HFL-RAN adopts a multi-tier aggregation strategy to optimize communication efficiency and model convergence speed. The architecture comprises the following layers (illustrated in Figure 2):

3.1.1. Edge Layer:

This layer includes Radio Access Network (RAN) nodes such as gNodeBs (5G base stations) and private LTE controllers deployed at or near the physical sites. Each node independently collects and processes real-time, site-specific Key Performance Indicators (KPIs) crucial for network optimization. These KPIs typically include:

- Interference metrics: Measurements of radio interference levels from neighboring cells, noise, and signal-to-interference-plus-noise ratio (SINR).
- Handover statistics: Data on the number and success/failure rates of handovers between cells, which are critical for mobility management.
- Traffic load profiles: Volume and distribution of user traffic over time, reflecting user behavior and demand patterns.
- Local Model Training: Each node uses its collected KPI data to train a local machine learning model that captures unique characteristics of its immediate environment. This localized training helps tailor optimization strategies (e.g., power control, beamforming, scheduling) to specific site conditions, reducing reliance on generalized or global models that may not perform well on every site.
- Advantages: Local training ensures privacy and scalability, since raw data does not leave the node, and models reflect localized network dynamics.

3.1.2. Intermediate Layer:

Composed of aggregation nodes (e.g., Central Units in O-RAN or Multi-access Edge Computing servers) responsible for aggregating local model updates from multiple edge nodes within their domain. This layer reduces uplink communication overhead by consolidating updates before forwarding them.

- This layer includes aggregation points such as Central Units (CUs) in the O-RAN architecture or Multi-access Edge Computing (MEC) servers strategically placed close to the edge nodes
- These nodes aggregate and consolidate the model updates (parameters, gradients, or learned weights) received from multiple edge nodes within their geographical or operational domain.

By aggregating updates, the intermediate layer significantly reduces uplink communication overhead, minimizing network bandwidth usage compared to sending all raw data or multiple individual model updates directly to the central orchestrator. Aggregation methods often use algorithms like federated averaging (FedAvg) or weighted averaging that combine updates while preserving the diversity and relevance of site-specific insights.

- **Benefits:** This hierarchical aggregation optimizes communication efficiency and improves model convergence by balancing local model specificity with broader network-level patterns.

3.1.3. Central Orchestrator Layer:

A cloud or regional data center entity that aggregates intermediate models to generate a global optimized model. This model is redistributed down the hierarchy to all participating nodes.

- This layer typically resides in a centralized cloud environment or regional data centers with high computational resources and storage capacity.
- It receives aggregated model updates from multiple intermediate nodes and further consolidates these into a global model that encapsulates the overall network behavior.
- The global model is optimized to generalize across diverse sites, incorporating insights from all participating nodes.
- After optimization, this global model is redistributed downward through the hierarchy first to intermediate nodes and then to edge nodes to update local models.
- **Functions:** Besides model aggregation, the orchestrator manages training schedules, coordinates communication protocols, handles security and privacy policies, and may also integrate external data sources for improved accuracy.
- **Benefits:** Central orchestration enables network-wide coordination and optimization, facilitating consistent quality of service and enabling adaptive network management at scale.

This hierarchical approach contrasts with traditional flat FL architectures where all clients communicate directly with a central server, resulting in improved scalability and resilience to network variations.

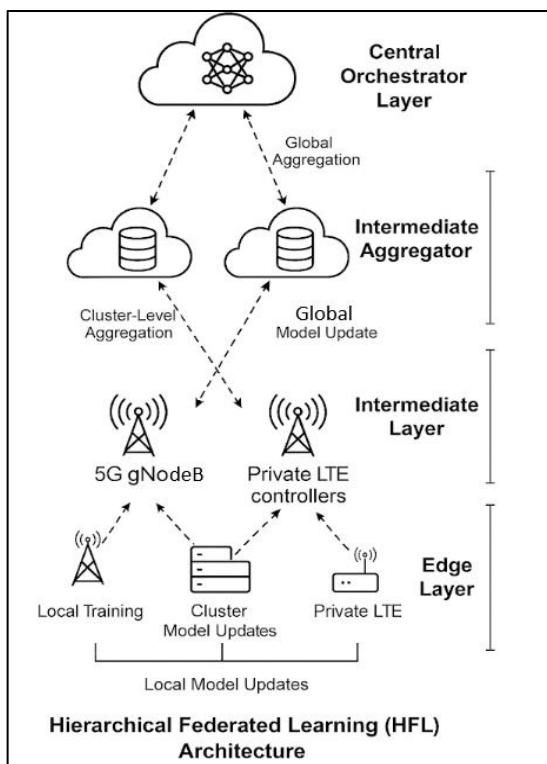


Fig 2: Hierarchical FL-RAN architecture for distributed 5G and private LTE environments

3.2 Learning Network-Specific Features with Time-Based Filtering

To effectively capture the temporal and spatial dynamics of RAN environments, HFL-RAN integrates domain-specific feature processing. Each edge node extracts and encodes necessary features from local KPIs:

- **Interference and Signal Quality Trends:** Time-series analysis of RSRP, RSRQ, and SINR values.
 - Low RSRP (e.g., < -110 dBm) indicates coverage issues, prompting federated updates to optimize transmission power and antenna tilts.
 - Decreasing RSRQ with stable RSRP may indicate increased intra-cell interference or resource contention.
 - SINR is crucial for link adaptation. High SINR enables higher MCS levels. **Federated utility:** Clients compute SINR histograms locally and push feature vectors instead of raw values to protect UE-level privacy.
- **Handover Performance Metrics:** Frequency and failure rates of intra- and inter-cell handovers. Frequent handovers can indicate ping-pong behavior or suboptimal cell borders. **Handover Failures** - Unavailability of target cell, poor RSRQ at target, delayed RRC reconfiguration. Time from A3 event triggering to successful HO completion. Long TTHs increase RLF probability.
- **Federated insight:** Edge nodes can compute failure distributions per eNB/gNB sector and share model gradients to retrain ML-based handover threshold tuning.
- **Traffic Load Dynamics:** UE density fluctuations - Count of active UEs per sector over time. Strongly correlates with scheduling latency and QoS drops
- **Edge aggregation:** Each base station node aggregates UE count trends and encodes fluctuations via Fourier transform. Throughput measurements over sliding windows. Look for asymmetric load across adjacent cells suggesting coverage gaps or user mobility asymmetry.
- **Federated advantage:** Each site encodes throughput variance metrics (e.g., standard deviation of DL over 30 min) into the model while preserving raw user data. These features undergo time-basis filtering to weigh recent data more heavily, enabling the model to adapt swiftly to environmental changes.

3.3 Privacy Enhancements

HFL-RAN enforces privacy through:

- **Local data retention:** In Federated Learning (FL), training is performed locally on edge or user equipment (UE) devices, such as distributed gNodeBs or private LTE base stations. This ensures that user mobility patterns, QoE metrics, and radio KPIs, never leave the originating node. This decentralized data retention inherently reduces the risk of privacy breaches. [11]
- **Differential privacy mechanisms:** To prevent unintended leakage through model gradients, the system incorporates Differential Privacy by adding calibrated noise to local model updates before transmission. This is particularly vital in private LTE environments supporting enterprise applications, where data sensitivity is high [12].
- **Secure aggregation protocols:** Cryptographic techniques ensuring model updates cannot be inspected individually by aggregators [12]. Model updates are encrypted using secure multiparty computation (SMPC)-based aggregation protocols

before transmission to the global server. These cryptographic methods (e.g., additively homomorphic encryption or secret sharing) allow the server to compute a global model without decrypting individual updates. This prevents adversarial aggregators from inferring information from single client updates or colluding with malicious participants [14][15].

- **Adversarial Resilience via Byzantine-Robust FL:** The framework integrates robust aggregation algorithms (e.g., Krum, Trimmed Mean, or Bulyan) to defend against poisoned updates from compromised edge nodes. These algorithms are resilient to data poisoning and adversarial drift, making the federated RAN optimization more secure against cyber-attacks [16].

These measures comply with regulatory requirements and protect multi-tenant network data from inadvertent exposure.

3.4. Communication Efficiency and Scalability

The hierarchical model aggregation minimizes redundant transmissions, reduces bandwidth consumption, and accommodates nodes with variable computational capabilities. This design supports:

- **Asynchronous updates:** Allowing nodes to contribute model updates at different intervals. In asynchronous federated learning (Async-FL), edge

nodes such as gNBs or small cells transmit model updates independently, reducing delays caused by slower nodes. [1], [2], [3].

- **Fault tolerance:** The framework can operate effectively despite node or link failures. Hierarchical FL uses multi-tier aggregation (e.g., local at gNBs, global at core) to reduce communication overhead and enhance scalability in large RAN deployments [4], [5]. It enables efficient coordination across distributed 5G and private LTE layers.

4. Evaluation and Design Justification

4.1. Motivation for Hierarchical FL in RAN Environments

Traditional flat FL architectures are limited by scalability and communication inefficiencies in distributed RAN environments. To address these limitations, our framework adopts a hierarchical FL structure. Previously published empirical findings that benchmark hierarchical FL performance in wireless and edge settings similar to our use case are mentioned below.

4.2. Supporting Evidence from Prior Simulations

A range of simulation studies have evaluated hierarchical FL across wireless edge computing and RAN-like setups. These results consistently demonstrate that hierarchical approaches reduce communication overhead and convergence time while maintaining model accuracy. Table 1 summarizes findings from relevant literature.

Table 1: Flat vs. Hierarchical FL in Wireless/RAN Environments [27]-[32]

Study	FL Architecture	Dataset / Scenario	Key Findings
Salehi et al. (2019)	Flat vs. HFL (macro + SBS)	CIFAR-10 on cellular architecture	HFL achieved ~40% faster convergence, lower bandwidth usage
Aygün et al. (2021)	OTA FL vs. HOTAFL	Simulated wireless channels	HOTAFL improved robustness to noise, ~30% faster training
Fang et al. (2023)	Flat vs. HIST	Clustered devices w/ AirComp	50–60% communication reduction, same accuracy
Shi et al. (2023)	Flat vs. hierarchical in Cloud-RAN	Compressed fronthaul training	Lower latency, better resource optimization
Flight (2024)	Multi-depth HFL over tree topologies	ResNet-152 on simulated network	Up to 60% less data transferred, near-equal accuracy

4.3. Implications for Our Framework

These findings strongly align with our proposed framework, which utilizes hierarchical client aggregation via intermediate RAN nodes. Our design emphasizes scalability, communication efficiency, and resilience traits validated across simulation-based evaluations. Thus, the design decisions made in this work are not only theoretically sound but also empirically justified through analogous architectures tested in wireless settings.

5. Use Cases and Applications

The following outlines several key applications where FL's decentralized learning paradigm is crucial:

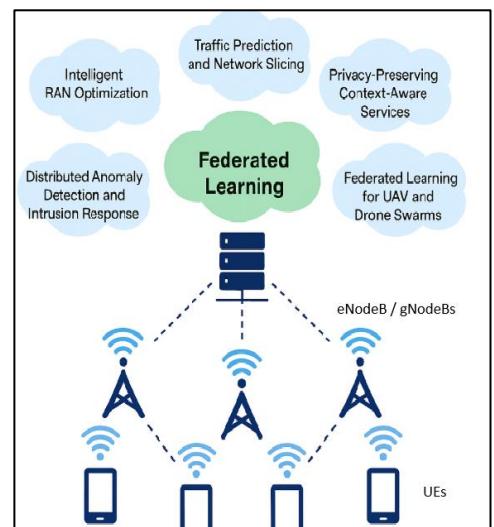


Fig 3: Various use cases for Federated Learning in RAN

5.1. Intelligent RAN Optimization

Radio Access Networks (RANs) require continual fine-tuning of parameters like handover thresholds, scheduling policies, and transmission power to adapt to dynamic environments. Traditional centralized optimization strategies suffer from scalability issues and high latency. FL allows base stations to collaboratively learn optimal policies without sharing raw traffic or user data, preserving user privacy [18]. In a hierarchical FL framework, regional RAN controllers can coordinate learning within their clusters and forward aggregated updates to the central controller for global insight, enhancing adaptability and convergence.

5.2. Traffic Prediction and Network Slicing

FL can enable individual base stations or network segments to locally learn traffic patterns based on user behavior while contributing to a shared prediction model [19]. By leveraging hierarchical aggregation, the proposed framework allows for low-latency adjustments at the edge (e.g., per slice or per gNB) while ensuring consistency across the network core. This structure supports faster adaptation and better generalization to regional traffic anomalies.

5.3. Privacy-Preserving Context-Aware Services

Context-aware services such as location-based content delivery, predictive caching, and AR/VR streaming rely on sensitive user context data, making centralized ML approaches less viable due to privacy risks. FL enables edge devices or UE clusters to train models locally on user context while preserving confidentiality [7], [19]. Through the hierarchical framework, edge clusters (e.g., gNB-local UE groups) aggregate local updates, which are then coordinated at the regional level. This approach balances personalization with scalability.

5.4. Client Heterogeneity Handling and Mobility Management

Wireless networks encompass highly heterogeneous clients ranging from smartphones and IoT devices to autonomous vehicles each with different computational, energy, and bandwidth constraints. In FL, uniform treatment of such devices can lead to stragglers or inefficient updates. The hierarchical design helps cluster clients with similar capabilities or mobility profiles, enabling more efficient intra-cluster training and robust handling of client dropouts or mobility-induced disconnections [21].

5.5. Distributed Anomaly Detection and Intrusion Response

Security remains a critical concern in distributed wireless networks. Anomaly detection mechanisms traditionally require global log aggregation, posing both latency and privacy challenges. With FL, intrusion detection models can be trained locally at edge points (e.g., gNBs, edge servers) using system logs and traffic metadata, enabling proactive defense without exposing sensitive information [21]. The hierarchical framework further supports multi-tiered response strategies, where regional controllers can correlate patterns across multiple sites before alerting a central security orchestrator.

5.6. Federated Learning for UAV and Drone Swarms

Unmanned aerial vehicle (UAV) networks and drone swarms used for surveillance, emergency response, and rural connectivity benefit from FL, as communication constraints and mission-critical sensitivity limit the use of centralized data sharing. Each UAV can train on localized sensory data (e.g., imagery, thermal mapping) and participate in hierarchical FL updates via regional control hubs [9]. Federated learning offers a privacy-preserving, scalable solution for RAN optimization in distributed 5G and private LTE networks. The proposed hierarchical framework enhances coordination, minimizes data exposure, and enables adaptive, intelligent decision-making across multi-tier architectures.

Table 2: Use Case Comparison for Hierarchical Federated Learning in RANs

Use Case	Objective	HFL Edge	Key Benefit
RAN Optimization	Dynamic tuning (handover, etc.)	Local aggregation speeds learning	Adaptive, low-latency control
Traffic Forecasting & Slicing	Predict load, slice proactively	Local real-time responsiveness	Smarter resource use
Privacy-Aware Services	Personalized, private services	No raw data leaves device	GDPR-compliant personalization
Device Diversity & Mobility	Train across varied devices	Clustered, adaptive training	Robust to mobility failures
Anomaly Detection & Response	Detect/respond to threats fast	Multi-tier improves accuracy	Stronger real-time defense
UAV/Drone Swarm Learning	Learn from local sensors	Works with low bandwidth	Efficient mission coordination

6. Challenges and Open Issues

Despite the promising benefits of the Hierarchical FL-RAN framework, several challenges remain to be addressed for practical deployment in distributed 5G and private LTE networks.

6.1. Device and Network Heterogeneity

The diverse capabilities and communication conditions of edge RAN nodes and intermediate aggregators can hinder

synchronous model training and aggregation. Handling non-IID and unbalanced local data distributions requires robust aggregation techniques and adaptive client selection strategies to maintain model accuracy and convergence speed [10], [20].

6.2. Communication Overhead and Latency:

Although hierarchical aggregation reduces uplink traffic compared to flat FL, the frequent transmission of model

updates in large-scale networks can still impose significant bandwidth consumption and latency. Asynchronous federated learning protocols may alleviate delays caused by stragglers but introduce challenges in managing stale updates and ensuring global model consistency [2], [3].

6.3. Privacy and Security Vulnerabilities:

While differential privacy and secure aggregation protocols enhance data confidentiality, advanced attacks such as model inversion, membership inference, and poisoning attacks remain concerns in multi-tenant RAN scenarios. Policy-driven update filtering are crucial but computationally intensive, posing a trade-off between security and efficiency [5], [16], [17].

6.4. Resource Constraints at the Edge:

Edge nodes often operate under limited processing power and energy budgets, restricting the complexity and frequency of local training iterations. Balancing model complexity with the requirement for real-time inference and updates necessitates lightweight models and efficient training algorithms [7], [10].

6.5. Dynamic and Non-Stationary Network Environments:

Rapid fluctuations in network KPIs due to user mobility, interference, and traffic load necessitate continuous adaptation of local models. Temporal filtering techniques must be carefully tuned to capture relevant trends without incurring excessive retraining costs or model drift [6], [19].

6.6. Regulatory and Operational Integration:

Compliance with diverse regional data privacy laws (e.g., GDPR) complicates federated data governance in multi-tenant systems. Moreover, integrating FL frameworks seamlessly with existing RAN management platforms and orchestration systems remains an open engineering challenge [1], [8].

6.7. Standardization and Interoperability:

Federated learning for telecom networks is still emerging in standards such as O-RAN. Vendor-specific implementations and heterogeneous software stacks can impede wide adoption, underscoring the need for interoperable and extensible FL protocols tailored to RAN optimization [9], [21]. Addressing these challenges is essential to fully realize the potential of privacy-enhanced, distributed learning for next-generation wireless networks.

7. Future Work

Several promising research directions can be pursued to enhance privacy-preserving distributed learning for next-generation wireless networks.

7.1. Advanced Privacy-Preserving Techniques:

Integrate stronger privacy guarantees using:

- Federated differential privacy with adaptive noise calibration
- Secure multiparty computation schemes optimized for heterogeneous RAN
- Leverage advanced privacy accounting methods like Rényi Differential Privacy for tighter privacy-utility trade-offs [13]

7.2. Adaptive and Robust Aggregation Algorithms:

Develop dynamic client selection and aggregation methods adapting to:

- Heterogeneous edge node capabilities
- Varying network conditions

Employ Byzantine-resilient algorithms with reduced computational overhead to secure large-scale deployments from adversarial attacks [16], [23]

7.3. Lightweight and Efficient Model Architectures:

- Design compact, energy-efficient models tailored for resource-constrained edge devices
- Use techniques such as model pruning, quantization, and knowledge distillation to balance inference accuracy and operational constraints [7], [10]

7.4. Continuous Learning for Dynamic Environments:

Incorporate online learning and continual adaptation strategies for:

- Non-stationary network conditions
- User mobility and traffic variations

Investigate hybrid temporal filtering and attention mechanisms to capture evolving KPI patterns while minimizing retraining costs [19]

7.5. Seamless Integration with Telecom Standards and Orchestration Platforms:

- Standardize federated learning protocols within O-RAN Alliance and related bodies to ensure interoperability
- Integrate with existing RAN management and orchestration platforms for scalable, practical deployment [9], [21]

7.6. Real-World Pilot Deployments and Benchmarking:

Conduct large-scale trials in operational 5G and private LTE networks to assess:

- System performance
- Communication overhead
- Privacy guarantees

Develop standardized benchmarks and open datasets to accelerate research and adoption [22], [25]

8. Conclusion

This paper introduced a Hierarchical Federated Learning (FL) framework tailored for Radio Access Network (RAN) environments, enabling privacy-preserving and scalable optimization across distributed wireless infrastructure. By structuring learning across edge and intermediate layers, the proposed architecture effectively addresses data heterogeneity, limited uplink bandwidth, and regulatory constraints. Through detailed use cases including handover optimization, interference management, and traffic-aware scheduling we demonstrated the framework's potential to drive intelligent, site-specific decisions while preserving user and operator privacy. As wireless networks grow in complexity with the advent of 5G-Advanced and 6G, such federated approaches are poised to become essential tools in

RAN intelligence. Future work will focus on advancing privacy techniques, adaptive aggregation strategies, and real-world deployments to bridge the gap between theoretical approach and practical scalability.

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